CAEP EPP Assessment Audit Template

(CAEP Accreditation Manual, February 2015, Steps for preparing the Selected Improvement Self-Study Report, p. 53; CAEP Standard Components, 2015, http://caepnet.org/standards/introduction)

CAEP Standard #	CAEP Standard #1 Content and Pedagogical Knowledge							
Standard Component	Evidence/ Assessment Instrument	Schedule: -Implementation -Reporting -Review -Administrations	Use of Data	Validity/Reliability Evidence	Applicable CAEP Assessment Review Criteria			
1.1 Candidates demonstrate an understanding of the 10 InTASC standards at the appropriate progression level(s) in the following categories: the learner and learning; content; instructional practice; and	Example Licensure Exams (proprietary instrument)	-Administrations Example Implementation: Externally managed Reporting: Manual extraction by staff via testing company's online reporting tool. Data extracted September 1 for previous academic year. Data inserted into SPA Assessment 1 files and Self-Study Report Licensure Exam file. Review: September of even years for programs, and Fall odd	Program Evaluation: Sub-scale pass rates by content and professional knowledge test to evaluate preparation, areas for curricular improvement, and additional test prep support needed by candidates Comparison: Utilizing report, Table D2.xls provided by Pearson for Title II, State Average Pass Rate (overall) and State Average Pass Rate	 During which part of the candidate's experience is the assessment used? Is the assessment used just once or multiple times during the candidate's preparation? AEPA/NES Licensure exams are a requirement for initial teacher certification by the state of Arizona and the exams vary by certificate (see http://www.aepa.nesinc.com/PageView.aspx?f=GEN_WhatTestsDoINeedToTake.html). Who uses the assessment and how are the individuals trained on the use of the assessment? NAU has a Test Preparation Center that supports candidates both in terms of content knowledge and test taking skills in relation to the licensure exams. The director of the Test Preparation Center extract sub-scale data collected between September 1st and August 31st of each year and this candidate data is provided in September to faculty by program for review. What is the intended use of the assessment and what is the assessment purported to measure? The AEPA/NES licensure exams are purported to measure candidate content knowledge and professional knowledge and skills for the various certificate areas. The subscale results are compared with other related performance assessment data for trends related to strengths and learning gaps. This analysis is used to determine areas for 	Example Data are disaggregated by licensure area Evidence is provided directly informing on candidate proficiency for each of the four InTASC categories At least two cycles of data are			
professional responsibility.		years for EPP level data. Administrations: AY 2015-16 preliminary data; AY 2014-2015 and 2013-2014 final data; Results prior to AY 2013- 2014 available, but include results from	and Scaled Score by Exam can be listed as a basis for comparison	 improvement in relation to courses and the overall programs of study. Aggregated results are also reported as part of the federal Title II report and overall areas of strengths and concerns are discussed by the NAU Professional Education Programs Coordinating Council. Validity: The AEPA/NES licensure exams are nationally developed exams. For each exam, a summary of the content domains, types of questions, approximate number of questions, and percentage of total test score derived from each content domain. The AEPA Faculty Handbook discusses the test development process and validation of the exams on pages 9-11 (see http://www.aepa.nesinc.com/Content/Docs/AZ_Faculty_Manual.pdf) 	At least one comparison point is available for analysis			

	previous state licensure exams.	 Reliability: Again, the AEPA/NES licensure exams are nationally developed exams. The AEPA Faculty Handbook discusses bias prevention, test administration, and test scoring on pages 10-11 (see http://www.aepa.nesinc.com/Content/Docs/AZ_Faculty_Manual.pdf) 	Data charts are clearly labeled
1.2 Providers ensure that			
candidates use			
research and			
evidence to develop an			
understanding			
of the teaching			
profession and use both to			
measure their			
P-12 students'			
progress and their own			
professional			
practice.			
1.3 Providers			
ensure that candidates			
apply content			
and			
pedagogical			
knowledge as reflected in			
outcome			
assessments in			
response to standards of			
Specialized			

Professional			
Associations			
(SPA), the			
National Board			
for			
Professional			
Teaching			
Standards			
(NBPTS),			
states, or			
other			
accrediting			
bodies (e.g.,			
National			
Association of			
Schools of			
Music –			
NASM).			
1.4 Providers			
ensure that			
candidates			
demonstrate			
skills and			
commitment			
that afford all			
P-12 students			
access to			
rigorous			
college- and			
career-ready			
standards			
(e.g., Next			
Generation			
Science			
Standards,			
National			
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Career		
Readiness		
Certificate,		
Common Core		
State		
Standards).		
1.5 Providers		
ensure that		
candidates		
model and		
apply		
technology		
standards as		
they design,		
implement and		
assess learning		
experiences to		
engage		
students and		
improve		
learning; and		
enrich		
professional		
practice.		

CAEP Standard	CAEP Standard #2 Clinical Partnerships and Practice					
Standard Component	Evidence/ Assessment Instrument	Schedule: -Implementation -Reporting -Review -Administrations	Use of Data	Validity/Reliability Evidence	Applicable CAEP Assessment Review Criteria	
2.1 Partners co-construct mutually beneficial P-12						

school and	
community	
arrangements,	
including	
technology-	
based	
collaborations,	
for clinical	
preparation	
and share	
responsibility	
for continuous	
improvement	
of candidate	
preparation.	
Partnerships	
for clinical	
preparation	
can follow a	
range of forms,	
participants,	
and functions.	
They establish	
mutually	
agreeable	
expectations	
for candidate	
entry,	
preparation,	
and exit;	
ensure that	
theory and	
practice are	
linked;	
maintain	
coherence	
Concrete	1

across clinical		
and academic		
components of		
preparation;		
and share		
accountability		
for candidate		
outcomes.		
2.2 Partners		
co-select,		
prepare,		
evaluate,		
support, and		
retain high-		
quality clinical		
educators,		
both provider-		
and school-		
based, who		
demonstrate a		
positive		
impact on		
candidates'		
development		
and P-12		
student		
learning and		
development.		
In		
collaboration		
with their		
partners,		
providers use		
multiple		
indicators and		
appropriate		

technology-			
based			
applications to			
establish,			
maintain, and			
refine criteria			
for selection,			
professional			
development,			
performance			
evaluation,			
continuous			
improvement,			
and retention			
of clinical			
educators in all			
clinical			
placement			
settings.			
2.3 The			
provider works			
with partners			
to design			
clinical			
experiences of			
sufficient			
depth,			
breadth,			
diversity,			
coherence,			
and duration			
to ensure that			
candidates			
demonstrate			
their			
developing			

effectiveness		
and positive		
impact on all		
students'		
learning and		
development.		
Clinical		
experiences,		
including		
technology-		
enhanced		
I .		
learning opportunities,		
are structured		
to have		
multiple		
performance-		
based		
assessments at		
key points		
within the		
program to		
demonstrate		
candidates'		
development		
of the		
knowledge,		
skills, and		
professional		
dispositions, as		
delineated in		
Standard 1,		
that are		
associated		
with a positive		
impact on the		
J		

learning and		
development		
of all P-12		
students.		

Standard	Evidence/	Schedule:	Use of Data	Validity/Reliability Evidence	Applicable
Component	Assessment	-Implementation			CAEP Assessment
	Instrument	-Reporting			Review Criteria
		-Review			
		-Administrations			
3.1 The					
provider					
presents plans					
and goals to					
recruit and					
support					
completion of					
high-quality					
candidates					
from a broad					
range of					
backgrounds					
and diverse					
populations to					
accomplish					
their mission.					
The admitted					
pool of					
candidates					
reflects the					
diversity of					
America's P-12					
students. The					
provider					

demonstrates				
efforts to				
know and				
address				
community,				
state, national,				
regional, or				
local needs for				
hard-to-staff				
schools and				
shortage				
fields,				
currently,				
STEM, English-				
language				
learning, and				
students with				
disabilities.				
3.2 REQUIRED				
COMPONENT:				
The provider				
meets CAEP				
minimum				
criteria or the				
state's				
minimum				
criteria for				
academic				
achievement,				
whichever are				
higher, and				
gathers				
disaggregated				
data on the				
enrolled				
candidates				
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		I
whose		
preparation		
begins during		
an academic		
year.		
3.3 Educator		
preparation		
providers		
establish and		
monitor		
attributes and		
dispositions		
beyond		
academic		
ability that		
candidates		
must		
demonstrate		
at admissions		
and during the		
program. The		
provider		
selects criteria,		
describes the		
measures used		
and evidence		
of the		
reliability and		
validity of		
those		
measures, and		
reports data		
that show how		
the academic		
and non-		
academic		
acaaciiiic		

factors predict		
candidate		
performance		
in the program		
and effective		
teaching.		
3.4 The		
provider		
creates criteria		
for program		
progression		
and monitors		
candidates'		
advancement		
from		
admissions		
through		
completion. All		
candidates		
demonstrate		
the ability to		
teach to		
college- and		
career-ready		
standards.		
Providers		
present		
multiple forms		
of evidence to		
indicate		
candidates'		
developing		
content		
knowledge,		
pedagogical		
content		

knowledge,		
pedagogical		
skills, and the		
integration of		
technology in		
all of these		
domains.		
3.5 Before the		
provider		
recommends		
any		
completing		
candidate for		
licensure or		
certification, it		
documents		
that the		
candidate has		
reached a high		
standard for		
content		
knowledge in		
the fields		
where		
certification is		
sought and can		
teach		
effectively		
with positive		
impacts on P-		
12 student		
learning and		
development.		
3.6 Before the		
provider		
recommends		

any		
completing		
candidate for		
licensure or		
certification, it		
documents		
that the		
candidate		
understands		
the		
expectations		
of the		
profession,		
including		
codes of		
ethics,		
professional		
standards of		
practice, and		
relevant laws		
and policies.		
CAEP monitors		
the		
development		
of measures		
that assess		
candidates'		
success and		
revises		
standards in		
light of new		
results.		
icsuits.		

CAEP Standard #4 Program Impact

Standard Component	Evidence/ Assessment	Schedule: -Implementation	Use of Data	Validity/Reliability Evidence	Applicable CAEP Assessment
	Instrument	-Reporting			Review Criteria
		-Review -Administrations			
4.1 REQUIRED					
COMPONENT					
The provider					
documents,					
using multiple					
measures, that					
program					
completers					
contribute to					
an expected level of					
student-					
learning					
growth.					
Multiple					
measures shall					
include all					
available					
growth					
measures					
(including					
value-added					
measures,					
student-					
growth					
percentiles,					
and student					
learning and					
development objectives)					
required by					
required by				<u> </u>	

the state for its			
teachers and			
available to			
educator			
preparation			
providers,			
other state-			
supported P-			
12 impact			
measures, and			
any other			
measures			
employed by			
the provider.			
4.2 REQUIRED			
COMPONENT T			
he provider			
demonstrates,			
through			
structured and			
validated			
observation			
instruments			
and/or student			
surveys that			
completers			
effectively			
apply the			
professional			
knowledge,			
skills, and			
dispositions			
that the			
preparation			
experiences			
cxperiences			

were designed			
to achieve.			
4.3 REQUIRED			
COMPONENT T			
he provider			
demonstrates,			
using			
measures that			
result in valid			
and reliable			
data and			
including			
employment			
milestones			
such as			
promotion and			
retention, that			
employers are			
satisfied with			
the			
completers'			
preparation			
for their			
assigned			
responsibilities			
in working			
with P-12			
students.			
4.4 REQUIRED			
COMPONENT T			
he provider			
demonstrates,			
using			
measures that			
result in valid			
and reliable			
CAED EDD A	 in the alternation By Country	 at a site Desferring LET and the Description Letter detailed (20/2016)	

data, that	
program	
completers	
perceive their	
preparation as	
relevant to the	
responsibilities	
they confront	
on the job, and	
that the	
preparation	
was effective.	

CAEP Standard #5 Provider Quality, Continuous Improvement, and Capacity					
Standard Component	Evidence/ Assessment Instrument	Schedule: -Implementation -Reporting -Review -Administrations	Use of Data	Validity/Reliability Evidence	Applicable CAEP Assessment Review Criteria
5.1 The provider's quality assurance system is comprised of multiple measures that can monitor candidate progress, completer achievements, and provider operational effectiveness.					

Evidence		
demonstrates		
that the		
provider		
satisfies all		
CAEP		
standards.		
5.2 The		
provider's		
quality		
assurance		
system relies		
on relevant,		
verifiable,		
representative		
, cumulative		
and actionable		
measures, and		
produces		
empirical		
evidence that		
interpretations		
of data are		
valid and		
consistent.		
5.3 REQUIRED		
COMPONENT T		
he provider		
regularly and		
systematically		
assesses		
performance		
against its		
goals and		
relevant		
standards,		

tracks results			
over time,			
tests			
innovations			
and the effects			
of selection			
criteria on			
subsequent			
progress and			
completion,			
and uses			
results to			
improve			
program			
elements and			
processes.			
5.4 REQUIRED			
COMPONENT			
Measures of			
completer			
impact,			
including			
available			
outcome data			
on P-12			
student			
growth, are			
summarized,			
externally			
benchmarked,			
analyzed,			
shared widely,			
and acted			
upon in			
decision-			
making related			

to programs,		
resource		
allocation, and		
future		
direction.		
5.5 The		
provider		
assures that		
appropriate		
stakeholders,		
including		
alumni,		
employers,		
practitioners,		
school and		
community		
partners, and		
others defined		
by the		
provider, are		
involved in		
program		
evaluation,		
improvement,		
and		
identification		
of models of		
excellence.		