Final Oral Examination (Thesis/Dissertation Defense)—Part 1 (Pass/Fail Form)

Part 1 of this form is to be completed during the oral examination/defense and submitted to the Graduate College as the official university record of examination results. Part 2 is completed after all requirements of oral exam are met and the final document is ready for publishing.

Candidate’s Name: __________________ NAU ID Number: __________ Exam Date: ______________

Please check one: □ Master’s Degree □ Doctoral Degree

Degree and Major (e.g. Ph.D. Biology; M.A. Psychology): ____________________________

Defense vote summary (a two-thirds majority of the appointed committee is required to pass):

Number of PASS votes: __________ Number of FAIL votes: __________

Specify changes and other requirements that must be completed and the committee member(s) who will verify that all specified requirements have been met.

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

Signatures of the Committee (please use blue ink): Printed Names

______________________________________, Chair ______________________________

______________________________________, Member ______________________________

______________________________________, Member ______________________________

______________________________________, Member ______________________________

______________________________________, Member ______________________________

Master’s Defense: ____________________________ Unit Chair/Director (or Designee) Date

Doctoral Defense: ____________________________ University Graduate Committee Representative Date

This form must be scanned & emailed to ETD@nau.edu, within 48 hours of the defense by the Unit Chair/Director (for master’s defenses) or by the Graduate Committee Representative (for doctoral defenses). THIS FORM WILL NOT BE ACCEPTED IF SUBMITTED BY THE STUDENT. The Chair will also make a copy for the Graduate Coordinator and the departmental file.
Verification of Final Thesis/Dissertation Document—Part 2 (Verification Form)

This form is to be completed by the committee member(s) verifying that all requirements specified during the oral examination have been met and that the thesis or dissertation is in its final form and ready for publishing. Receipt of this form by the Graduate College and submission of the final electronic version of the thesis or dissertation to ProQuest/UMI via the Graduate College website are part of degree requirements.

I verify that ___________________________ has met all specified requirements during the oral examination and that the thesis or dissertation is in final form and ready for submission to the publisher.

_________________________________________ (Candidate’s Name)  ___________________________ (NAU ID Number)

PRINTED NAME  SIGNATURE (please use blue ink)  DATE

_________________________________________  ___________________________________________  ________________

(Additional verifying signatures if indicated with specified changes in Part 1)

_________________________________________  ___________________________________________  ________________

_________________________________________  ___________________________________________  ________________

_________________________________________  ___________________________________________  ________________

_________________________________________  ___________________________________________  ________________

To be completed by the Committee Chair (or designee) in consultation with candidate:

1. Open Access (choose one option)
   ___a. Student Choice
   ___b. Committee/Department requires that student choose Open Access

2. Copyright Registration (choose one option)
   ___a. Student Choice
   ___b. Committee/Department requires that student register copyright

3. Embargo (choose one option)
   ___a. None
   ___b. One year
   ___c. Two years
   ___d. More than two years. Please indicate number of years_____

4. Bound Copies
   ___a. No department/committee requirements
   ___b. Department/Committee requires ______ bound copies through Proquest/UMI
   ___c. Department/Committee requires ______ bound copies through alternate sources
      (see department office for details)

Open Access Publishing: Describes content that a reader can access free of charge. The ultimate goal is to ensure the widest possible distribution of a given publication, such that researchers around the world can easily access important research. Graduates who wish to ensure the widest possible distribution of their work or have benefited from public funding would be well served by the Open Access publishing option. If a dissertation or thesis will contain patentable or other sensitive material, this option should not be used. There is a one-time charge by Proquest/UMI to the student of $95.00* related to choosing Open Access. The fee funds the long-term storage and access. See the Open Access link for more information.

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Please note that fees indicated in this form are in effect as of Fall, 2011 and are subject to change at any time.

This form must be scanned & emailed to ETD@nau.edu, by the Committee Chair. THIS FORM WILL NOT BE ACCEPTED IF SUBMITTED BY THE STUDENT. The chair should provide the student with a copy to assist with answering the four (4) questions above during electronic submission of the final thesis/dissertation document for publishing.

Revised: JPM, 8/22/14